

**FORM ADV**

**Uniform Application for Investment Adviser Registration**

**Part II - Page 1**

OMB APPROVAL

OMB Number: 3235-0049  
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Name of Investment Adviser: Financial Legacy Builders, L.L.C.					
Address:	(Number and Street)	(City)	(State)	(Zip Code)	Area Code: Telephone Number:
	3214 Windy Cape Lane	League City	TX	77573	832-971-6725

**This part of FORM ADV gives information about the investment adviser and its business for the use of clients. The information has not been approved or verified by any government authority.**

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(Schedule A, B, C, D, and E are included with Part I of this Form, for the use of regulatory bodies, and are not distributed to clients.)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**1. A. Advisory Services and Fees.** (check the applicable boxes)

For each type of service provided, state the approximate % of total advisory billings from that service. (See instruction below.)

**Applicant:**

- (1) Provides investment supervisory services ..... 30 (est) %
- (2) Manages investment advisory accounts not involving investment supervisory services..... %
- (3) Furnishes investment advice through consultations not included in either service described above... 35 (est) %
- (4) Issues periodicals about securities by subscription ..... %
- (5) Issues special reports about securities not included in any service described above..... 5 (est) %
- (6) Issues, not as part of any service described above, any charts, graphs, formulas, or other devices which clients may use to evaluate securities..... %
- (7) On more than an occasional basis, furnishes advice to clients on matters not involving securities... 30 (est) %
- (8) Provides a timing service ..... %
- (9) Furnishes advice about securities in any manner not described above..... %

(Percentages should be based on applicant's last fiscal year. If applicant has not completed its first fiscal year, provide estimates of advisory billings for that year and state that the percentages are estimates.)

- B. Does applicant call any of the services it checked above financial planning or some similar term? . . . . .  Yes  No

C. Applicant offers investment advisory services for: (check all that apply)

- (1) A percentage of assets under management
- (2) Hourly charges
- (3) Fixed fees (not including subscription fees)
- (4) Subscription fees
- (5) Commissions
- (6) Other

D. For each checked box in A above, describe on Schedule F:

- the services provided, including the name of any publication or report issued by the adviser on a subscription basis or for a fee
- applicant's basic fee schedule, how fees are charged and whether its fees are negotiable
- when compensation is payable, and if compensation is payable before service is provided, how a client may get a refund or may terminate an investment advisory contract before its expiration date

**2. Types of clients** - Applicant generally provides investment advice to: (check those that apply)

- A. Individuals
- B. Banks or thrift institutions
- C. Investment companies
- D. Pension and profit sharing plans
- E. Trusts, estates, or charitable organizations
- F. Corporations or business entities other than those listed above
- G. Other (describe on Schedule F)

**Answer all items. Complete amended pages in full, circle amended items and file with execution page (page 1)**

**3. Types of Investments.** Applicant offers advice on the following: (check those that apply)

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> A. Equity securities                                       | <input checked="" type="checkbox"/> H. United States government securities |
| <input type="checkbox"/> (1) exchange-listed securities  | <input type="checkbox"/> I. Options contracts on:                          |
| <input type="checkbox"/> (2) securities traded over-the-counter                                | <input type="checkbox"/> (1) securities                                    |
| <input type="checkbox"/> (3) Foreign issuers   | <input type="checkbox"/> (2) commodities                                   |
| <input type="checkbox"/> B. Warrants   | <input type="checkbox"/> J. Futures contracts on:                          |
| <input checked="" type="checkbox"/> C. Corporate debt securities (other than commercial paper) | <input type="checkbox"/> (1) tangibles                                     |
| <input type="checkbox"/> D. Commercial paper   | <input type="checkbox"/> (2) intangibles                                   |
| <input checked="" type="checkbox"/> E. Certificates of deposit                                 | <input type="checkbox"/> K. Interests in partnerships investing in:        |
| <input checked="" type="checkbox"/> F. Municipal securities                                    | <input type="checkbox"/> (1) real estate                                   |
| <input type="checkbox"/> G. Investment company securities:                                     | <input type="checkbox"/> (2) oil and gas interests                         |
| <input type="checkbox"/> (1) variable life insurance   | <input type="checkbox"/> (3) other (explain on Schedule F)                 |
| <input type="checkbox"/> (2) variable annuities  | <input type="checkbox"/> L. Other (explain on Schedule F)                  |
| <input checked="" type="checkbox"/> (3) mutual fund shares                                     |  |

**4. Methods of Analysis, Sources of Information, and Investment Strategies.**

A. Applicant's security analysis methods include: (check those that apply)

- |   |  |
|---|--|
| (1) <input type="checkbox"/> Charting               | (4) <input type="checkbox"/> Cyclical                      |
| (2) <input checked="" type="checkbox"/> Fundamental | (5) <input type="checkbox"/> Other (explain on Schedule F) |
| (3) <input checked="" type="checkbox"/> Technical   |  |

B. The main sources of information applicant uses include: (check those that apply)

- |   |  |
|---|--|
| (1) <input checked="" type="checkbox"/> Financial newspapers and magazines    | (5) <input type="checkbox"/> Timing services   |
| (2) <input type="checkbox"/> Inspections of corporate activities              | (6) <input checked="" type="checkbox"/> Annual reports, prospectuses, filings with the<br>Securities and Exchange Commission |
| (3) <input checked="" type="checkbox"/> Research materials prepared by others | (7) <input type="checkbox"/> Company press releases  |
| (4) <input checked="" type="checkbox"/> Corporate rating services             | (8) <input type="checkbox"/> Other (explain on Schedule F)   |

C. The investment strategies used to implement any investment advice given to clients include: (check those that apply)

- |  |  |
|--|--|
| (1) <input checked="" type="checkbox"/> Long term purchases<br>(securities held at least a year) | (5) <input type="checkbox"/> Margin transactions   |
| (2) <input checked="" type="checkbox"/> Short term purchases<br>(securities sold within a year)  | (6) <input type="checkbox"/> Option writing, including covered options,<br>uncovered options or spreading strategies |
| (3) <input type="checkbox"/> Trading (securities sold within 30 days)                            | (7) <input checked="" type="checkbox"/> Other (explain on Schedule F)  |
| (4) <input type="checkbox"/> Short sales   |  |

Applicant: Financial Legacy Builders, L.L.C.

SEC File Number:  
801-

Date:  
02/12/2009

**5. Education and Business Standards.**

Are there any general standards of education or business experience that applicant requires of those involved in determining or giving investment advice to clients? .....  Yes  No

(If yes, please describe these standards on Schedule F)

**6. Education and Business Background.**

For:

- each member of the investment committee or group that determines general investment advice to be given to clients, or
- if the applicant has no investment committee or group, each individual who determines general investment advice clients (if more than five, respond only for their supervisors)
- each principal executive officer of applicant or each person with similar status or performing similar functions.

On Schedule F, give the:

- name
- year of birth
- formal education after high school
- business background for the preceding five years

**7. Other Business Activities.** (check those that apply)

- A. Applicant is actively engaged in a business other than giving investment advice.
- B. Applicant sells products or services other than investment advice to clients.
- C. The principal business of applicant or its principal executive officers involves something other than providing investment advice.

(For each checked box describe the other activities, including the time spent on them, on Schedule F.)

**8. Other Financial Industry Activities or Affiliations.** (check those that apply)

- A. Applicant is registered (or has an application pending) as a securities broker-dealer.
- B. Applicant is registered (or has an application pending) as a futures commission merchant, commodity pool operator or commodity trading adviser.
- C. Applicant has arrangements that are material to its advisory business or its clients with a related person who is a:
  - (1) broker-dealer
  - (2) investment company
  - (3) other investment adviser
  - (4) financial planning firm
  - (5) commodity pool operator, commodity trading adviser or futures commission merchant
  - (6) banking or thrift institution
  - (7) accounting firm
  - (8) law firm
  - (9) insurance company or agency
  - (10) pension consultant
  - (11) real estate broker or dealer
  - (12) entity that creates or packages limited partnerships

(For each checked box in C, on Schedule F identify the related person and describe the relationship and the arrangements.)

- D. Is applicant or a related person a general partner in any partnership in which clients are solicited to invest?..  Yes  No

(If yes, describe on Schedule F the partnerships and what they invest in.)

**9. Participation or Interest in Client Transactions.**

Applicant or a related person: (check those that apply)

- A. As principal, buys securities for itself from or sells securities it owns to any client.
- B. As broker or agent effects securities transactions for compensation for any client.
- C. As broker or agent for any person other than a client effects transactions in which client securities are sold to or bought from a brokerage customer.
- D. Recommends to clients that they buy or sell securities or investment products in which the applicant or a related person has some financial interest.
- E. Buys or sell for itself securities it also recommended to clients.

(For each box checked, describe on Schedule F when the applicant or a related person engages in these transactions and what restrictions, internal procedures, or disclosures are used for conflicts of interest in those transactions.)

Describe, on Schedule F, your code of ethics, and state that you will provide a copy of your code of ethics to any client or prospective client upon request.

- 10. Conditions for Managing Accounts.** Does the applicant provide investment advisory services, manage investment advisory accounts or hold itself out as providing financial planning or some similarly termed services *and* impose a minimum dollar value of assets or other condition for starting or maintaining an account?

Yes  No

(If yes, describe on Schedule F)

- 11. Review of Accounts.** If applicant provides investment supervisory services, manages investment advisory account, or holds itself out as providing financial planning or some similarly termed services:

- A. Describe below the reviews and reviewers of the accounts. **For reviews**, include their frequency, different levels, and triggering factors. **For reviewers**, include the number of reviewers, their titles and functions, instructions they receive from applicant on performing reviews, and number of accounts assigned each.

Mr. Gowan, Managing Member, typically performs a review of a client's account at least once or twice per month to monitor the client's investment performance and if necessary, recommend changes to the client's investment portfolio. If Financial Legacy Builders, L.L.C. receives a request or inquiry from the client or perceives a unique opportunity to buy or sell a particular investment, then this may trigger a review outside of the normal review time frame. All reviews will be completed by Mr. Gowan, the sole principal officer of the Firm.

- B. Describe below the nature and frequency of regular reports to clients on their accounts.

Financial Legacy Builders, L.L.C. will typically provide a written or oral status to a client regarding their investments at monthly or quarterly intervals depending on the client's needs, desires, and the type of investment vehicles / strategies utilized. These updates regarding the client's investment portfolio and/or financial plan may be presented to the client in person or via the telephone, internet, or written report. Brokerage account statements are not provided by Financial Legacy Builders, L.L.C. since this information is provided by the broker / dealer / custodian selected by the client.

**12. Investment or Brokerage Discretion.**

A. Does applicant or any related person have authority to determine, without obtaining specific client consent, the:

- |  |                          |                                     |
|--|--------------------------|-------------------------------------|
|  | Yes                      | No                                  |
| (1) securities to be bought or sold? .....           | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (2) amount of securities to be bought or sold? ..... | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (3) broker or dealer to be used? .....               | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| (4) commission rates paid? .....                     | <input type="checkbox"/> | <input checked="" type="checkbox"/> |

B. Does applicant or a related person suggest brokers to clients? .....  Yes  No

For each yes answer to A describe on Schedule F any limitations on the authority. For each yes to A(3), A(4) or B, describe on Schedule F the factors considered in selecting brokers and determining the reasonableness of their commissions. If the value of products, research and services given to the applicant or a related person is a factor, describe:

- the products, research and services
- whether clients may pay commissions higher than those obtainable from other brokers in return for those products and services
- whether research is used to service all of applicant's accounts or just those accounts paying for it; and
- any procedures the applicant used during the last fiscal year to direct client transactions to a particular broker in return for product and research services received.

**13. Additional Compensation.**

Does the applicant or a related person have any arrangements, oral or in writing, where it:

- |   |                                     |                                     |
|---|-------------------------------------|-------------------------------------|
| A. is paid cash by or receives some economic benefit (including commissions, equipment or non-research services) from a non-client in connection with giving advice to clients? ..... | Yes                                 | No                                  |
|   | <input checked="" type="checkbox"/> | <input type="checkbox"/>            |
| B. directly or indirectly compensates any person for client referrals? .....  | Yes                                 | No                                  |
|   | <input type="checkbox"/>            | <input checked="" type="checkbox"/> |

(For each yes, describe the arrangements on Schedule F.)

**14. Balance Sheet.** Applicant must provide a balance sheet for the most recent fiscal year on Schedule G if applicant:

- has custody of client funds or securities (unless applicant is registered or registering only with the Securities and Exchange Commission); or
  - requires prepayment of more than \$500 in fees per client and 6 or more months in advance
- Has applicant provided a Schedule G balance sheet?.....  Yes  No

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant: Financial Legacy Builders, L.L.C.	SEC File Number: 801-	Date: 02/12/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>Financial Legacy Builders, L.L.C.</b>	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
1.A.(1)	<p>Financial Legacy Builders, L.L.C. (the "Firm") provides "investment supervisory services". Through this type of service, the firm manages individual client accounts on an ongoing, non-discretionary basis. Advertised as "professional asset management", this service provides clients with periodic assessments of their financial situation, updates to their financial plan, and recommendations regarding the investment of designated assets. Due to the non-discretionary nature of the services, the client remains free at all times to accept or reject any recommendation by the advisor and will retain sole authority with regard to the implementation of the advice received.</p> <p>The client will pay the Firm an annual fee, paid quarterly in arrears, based upon one of two different options selected by the client and described as follows:</p> <p>Option A: Fees will be based upon a percentage of the market value of the assets being managed by the Firm. The market value of assets will be determined at the end of each calendar year quarter based on the statements from the client's custodian. The first \$500,000 will be charged 0.6%, the next \$500,000 will be charged an additional 0.4%, and any remaining amount above \$1,000,000 will be charged an additional 0.3%.</p> <p>Option B: Retainer fees will be based upon the client's net worth regardless of the amount of assets being managed by the Firm. For a net worth less than \$300,000, the annual fee is \$600. For a net worth between \$300,000 and \$1,000,000, the fee is \$1020. For a net worth between \$1,000,000 and \$3,000,000, the fee is \$1500. Above \$3,000,000, the fee is \$3000.</p> <p>Option A is not available for clients with assets under management less than \$100,000.</p> <p>The fee for the initial quarterly period will be prorated from the effective date of the Investment Advisory Agreement. Fees may be negotiated and may differ among clients based upon any number of factors. Financial Legacy Builders, L.L.C. may waive or lower the fees at any time, but no increase in the annual fee will be effective without prior written notification to the client. In the event of termination of the Investment Advisory Agreement, the fee for the final period will be prorated through the termination date and paid in arrears. The Investment Advisory Agreement can be terminated at any time by either party through written notice to the other, signed by the terminating party. All refunds will be at the sole discretion of Financial Legacy Builders, L.L.C.</p> <p>The Firm may direct the movement of funds from one account in the client's name to another such titled account, but has no access to funds except for the deduction of management fees. In all other matters, the Firm has no custody of client securities and requires the use of an outside Custodian.</p> <p>The annual fee is generally separate from the transaction, exchange, wire transfer, margin interest or account fees charged by the Custodian. To the extent that client assets are invested in money market funds or mutual funds, the fees for monitoring those assets are in addition to the fees included in the internal expenses of those funds paid to their own investment managers, which are fully disclosed in each fund's prospectus.</p>

**(Complete amended pages in full, circle amended items and file with execution page (page 1).)**

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant: Financial Legacy Builders, L.L.C.	SEC File Number: 801-	Date: 02/12/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>Financial Legacy Builders, L.L.C.</b>	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
1.A.(3)	<p>Some custodians may charge transactions fees on some mutual funds, so that clients may be paying more to purchase or sell these funds than if the Firm went directly to these fund families on behalf of clients.</p> <p>The firm "furnishes investment advice through consultations not included in either service described above" and "on more than an occasional basis, furnishes advice to clients on matters not involving securities". As a part of the firm's consulting and financial planning services, Financial Legacy Builders, L.L.C. will provide the client with advice regarding one or more aspect of the client's finances, which may include, but is not limited to, debt management, investments, insurance, retirement planning, college savings, charitable donations, emergency funds, cash flow planning, real estate and mortgages. These services may or may not include investment advice depending the client's financial circumstances and the nature of the financial consultation the client requests. For these services, the client will pay Financial Legacy Builders, L.L.C. a predetermined fixed fee (not to exceed \$500) that may be negotiated and may differ among clients based upon any number of factors. Half of the fee will be payable upon signing of the Investment Advisory Agreement and the balance upon completion of services. In the event of termination of the Investment Advisory Agreement prior to the agreed upon duration of services, the client will be entitled to a full refund. Once the consulting services have been completed, any refunds will be at the sole discretion of Financial Legacy Builders, L.L.C. The Firm may waive or lower the fees at any time. The Investment Advisory Agreement can be terminated at any time by either party through written notice to the other, signed by the terminating party.</p>
4.C	<p>One of the investment strategies utilized by the Firm involves selecting mutual fund securities based on their relative momentum. A momentum score, as defined by the "Sound Mind Investing" newsletter by Austin Pryor, is assigned to various mutual funds based on their 1-month, 3-month, 6-month, and 12-month past performance history. In general, mutual funds are selected based on their momentum score, but other factors are also considered such as the fund family, fund manager, expense ratio, and long-term performance history. Using this strategy, mutual fund shares may be held for a period of time as short as one or two months before being replaced with other mutual funds with higher momentum scores.</p>
5	<p>Mr. Gowan is the only individual providing investment advice on behalf of the Firm to its clients. As additional professional staff is added to the Firm, all members will be required to have the minimum of Bachelor of Science degrees from major colleges or universities, and/or be Certified Financial Planners(r), as licensed by the CFP Board of Standards, and/or Chartered Financial Analysts(r), as chartered by the Association for Investment Management and Research, and/or have equivalent work experience in the industry.</p>

**(Complete amended pages in full, circle amended items and file with execution page (page 1).)**

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant: Financial Legacy Builders, L.L.C.	SEC File Number: 801-	Date: 02/12/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>Financial Legacy Builders, L.L.C.</b>		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	
6	<p>Name: John W. Gowan Jr. Year of Birth: 1979</p> <p>Formal Education: (1) Bachelor of Science in Aeronautics and Astronautics from Purdue University, (2) Master of Science in Management Science and Engineering from Stanford University</p> <p>Business Background: Founded Financial Legacy Builders, L.L.C. in June 2007</p> <p>Employment History: (1) Aerospace Engineer, NASA Johnson Space Center, June 2002-Present (2) Managing Member, Financial Legacy Builders, L.L.C., June 2007-Present</p>	
7.A	<p>In addition to providing investment advice, Financial Legacy Builders, L.L.C. is actively engaged in comprehensive financial planning services that include providing advice regarding debt management, risk management / insurance, asset allocation, retirement planning, college savings, charitable donations, cash flow planning, and real estate investing. Mr. Gowan is also employed at NASA Johnson Space Center, where he works approximately 40 hours per week.</p>	
7.B	<p>Financial Legacy Builders, L.L.C. offers comprehensive financial planning services (as described above in 7.A) and offers related financial products such as reports, books, and online tools.</p>	
9	<p>The firm has adopted a code of ethics, (the "Code of Ethics") that emphasizes the highest standards of ethical conduct. The Code of Ethics consists of general principles that are understood to govern the personal investment activities of the Firm's personnel, the Firm's fiduciary duty to clients, and the obligations of the Firm's partners and employees to uphold the Firm's fundamental duties: (1) To at all times place the interest of the clients first; (2) To conduct all personal securities transactions in a manner that is consistent with the Code of Ethics and to minimize conflicts of interest or any abuse of any employee's position of trust or responsibility; (3) To not take inappropriate advantage of their position; (4) To maintain confidential any information concerning the identity of security holdings and financial circumstances of clients; and (5) To uphold the principle of independence in the investment decision making process.</p>	
9.E	<p>At any time, Financial Legacy Builders, L.L.C., its officers, employees, and agents, may have or take the same or similar positions in specific investments for their own accounts as the Firm recommends for a particular client. This activity is disclosed through the Investment Advisory Agreement signed by the client. The Firm currently does not place any internal restrictions on these types of transactions due to the relatively small quantities and limited scope of securities transactions in question.</p>	
10	<p>Minimum Account Sizes or Fees: The Firm has a negotiable minimum fee of \$600 per year.</p>	

**(Complete amended pages in full, circle amended items and file with execution page (page 1).)**

**Schedule F of  
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Continuation Sheet for Form ADV Part II**

Applicant: Financial Legacy Builders, L.L.C.	SEC File Number: 801-	Date: 02/12/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>Financial Legacy Builders, L.L.C.</b>	IRS Empl. Ident. No.:
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Item of Form (identify)	Answer
12.B	<p>Financial Legacy Builders, L.L.C. will often make recommendations to clients regarding the selection of a broker-dealer for the execution of brokerage transactions. The Firm typically recommends TD-Ameritrade but may recommend a different brokerage firm depending on the client's circumstances. Broker-dealer recommendations will take into account the commissions charged to clients, but lower commissions may be found through other broker-dealers. In addition to commissions and fees, the primary consideration when recommending broker-dealers to clients will be the number and availability of mutual funds and other investment products being offered by the broker-dealer.</p> <p>If requested, the Firm will arrange for the execution of securities brokerage transactions for the client's assets through broker-dealers that the Firm reasonably believes will provide "best execution". In seeking best execution, the determinative factor is not the lowest possible commission cost but whether the transaction represents the best qualitative execution, taking into consideration the full range of a broker-dealer's services including the value of research provided, execution capability, commission rates, and responsiveness. Accordingly, although the Firm will seek competitive commission rates, it may not necessarily obtain the lowest possible commission rates for the client's transactions. The client may pay to a broker-dealer a commission greater than another qualified broker-dealer might charge to effect the same transaction where the Firm determines in good faith that the commission is reasonable in relation to the value of the brokerage and research services received.</p> <p>The client may direct the Firm to use a particular broker-dealer to execute some or all transactions. In that case, the client will negotiate terms and arrangements for the client's account with that broker-dealer, and the Firm will not seek better execution services or prices from other broker-dealers. As a result, the client may pay higher commissions or other transactions costs or greater spreads, or receive less favorable net prices on transactions for the client's account than would otherwise be the case.</p> <p>Neither the Firm, nor any of its principals or associated persons, will receive any portion of the commissions and/or transaction fees charged to the client's account. However, in return for effecting securities brokerage transactions through certain broker-dealers, the Firm may receive from those broker-dealers certain investment research products and/or services which assist the Firm in its investment decision making process for all of the Firm's clients, all of which transactions shall be in compliance with Section 28(e) of the Securities Exchange Act of 1934.</p>
13.A	<p>The Firm may receive access to research, proprietary account management and data transmission services offered by brokers and/or the applicable Custodian to enable the Firm to trade Clients' accounts electronically. The applicable Custodian also may provide the Firm with educational and compliance material, such as newsletters and access to seminars and conferences.</p>

(Complete amended pages in full, circle amended items and file with execution page (page 1).)

**Schedule F of  
FORM ADV  
Continuation Sheet for Form ADV Part II**

Applicant: Financial Legacy Builders, L.L.C.	SEC File Number: 801-	Date: 02/12/2009
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(Do not use this Schedule as a continuation sheet for Form ADV Part I or any other Schedules)

1. Full name of applicant exactly as stated in Item 1A of Part I of Form ADV: <b>Financial Legacy Builders, L.L.C.</b>		IRS Empl. Ident. No.:
Item of Form (identify)	Answer	

**(Complete amended pages in full, circle amended items and file with execution page (page 1).)**